



## Assessment Summary Report

### Participant Information

Name of the Site	Teck Carmen de Andacollo Operation
Unique identifier provided by the Copper Mark	P0089
Address	Carmen de Andacollo, Andacollo, Coquimbo, Chile
Country of Operation	Chile
Principle covered products produced on site. (e.g., concentrate, anodes, cathodes, sulphuric acid, slag, etc.)	Concentrate
Metals produced on site. (e.g., copper, gold, nickel, silver, molybdenum)	Copper
Metals included in scope of Criterion 31 (this must be all, or a sub-set of the metals produced on site)	Copper
Metals covered by other independent assessments for Criterion 31 (e.g., RMAP, LBMA, RJC, etc.)	N/A
Types of operations included in scope	
Mining	<input checked="" type="checkbox"/>
Concentrate blending	<input type="checkbox"/>
Solvent extraction and electrowinning	<input type="checkbox"/>
Smelting	<input type="checkbox"/>
Refining	<input type="checkbox"/>
Fabrication	<input type="checkbox"/>
Other ( <i>please explain</i> )	
Infrastructure owned or controlled by the site and included in scope	

Roads	<input type="checkbox"/>
Rails	<input type="checkbox"/>
Ports	<input type="checkbox"/>
Other ( <i>please explain</i> )	

### Equivalent Systems

The following equivalent systems were applied:

*Equivalent systems are determined by the Copper Mark to have standards and assurance requirements that are materially comparable in scope and intent to those of the Copper Mark, in accordance with the [Copper Mark Recognition Process](#).*

Equivalent System	Review Process	Criteria Covered by Equivalency
ISO 14001:2015 Valid until 15 January 2025	The assurance / certification was confirmed to be: <ul style="list-style-type: none"> <li>Valid at the time of the review</li> <li>No more than 24 months old and / or plans for reassessment are underway.</li> <li>In effect for an additional 12 months and / or plans for reassessment are underway</li> <li>Covering the same scope as the Copper Mark Responsible Production Criteria, including operations, locations, and materials</li> <li>Accompanied by improvement plans where applicable</li> </ul>	14. Environmental Risk Management

### Independent Site Assessment Information

Name of the Assessment Firm (if applicable)	PricewaterhouseCoopers, LLP
Date(s) of Assessment Activities (dd/mm/yyyy – dd/mm/yyyy)	29 June – 29 September 2023 Onsite: 24-27 July 2023
Assessment Period	5 October 2022 – 4 October 2023

<p>Summary of the Assessment Methodology</p>	<p>Assurance was performed in accordance with the International Standards on Assurance Engagement (ISAE) 3000, Attestation Engagements Other Than Audits or Reviews of Historical Financial Information.</p> <p>Permanent workers: 622</p> <p>Contract workers: 578</p>
<p>Summary of the Assessment Activities</p>	<ul style="list-style-type: none"> <li>• Opening meeting</li> <li>• Site tour</li> <li>• Interviews with site management, site representatives, sample of employees, sample of contractors, sample of Communities of Interest</li> <li>• Document and record review</li> <li>• Closing meeting</li> </ul>

### Summary of Findings

Criterion	Rating	Comments
<p>1. Legal Compliance</p>	<p>Fully meets</p>	<p>Carmen de Andacollo Operations (CDA) has established and maintains processes to ensure compliance with applicable laws.</p> <p>This was confirmed through testing of a sampling of mechanisms to identify relevant legal requirements, tracking and communication tools, monitoring manuals, and internal audit results. Interviews with management and workers were conducted to understand their awareness and interpretation of policies and use of mechanisms.</p>
<p>2. Business Integrity</p>	<p>Partially meets</p>	<p>CDA implements policies, practices, and controls that prohibit and effectively prevent bribery (including facilitation payments) and corruption in all their forms, conflicts of interest and anti-competitive behavior by employees, agents, or other company representatives.</p> <p>The following improvement opportunity was identified:</p> <ul style="list-style-type: none"> <li>• Teck is updating their due diligence process which assesses vendor performance in regard to</li> </ul>

		<p>combatting bribery and corruption. As part of this process the risk assessment process is being updated and was not complete by the time of the assurance process and as such has been identified as an improvement opportunity.</p> <p>This was confirmed through review of the compliance policy, conducting interviews, review of training and completion training records, review of reporting mechanisms such as the whistle blower hotline. Reviewed CdA's procurement processes and disclosure of payments to government, political contributions, taxes and royalties.</p>
3. Stakeholder Engagement	Fully meets	<p>CDA has processes and policies in place to map and identify Communities of Interest (COI).</p> <p>CDA has a system for tracking received COI feedback and response mechanisms. Communication with COI includes monthly meetings, emails, phone calls and WhatsApp.</p> <p>Training is provided to employees on effective engagement and dialogue.</p> <p>This was confirmed through a review of the engagement strategy, mapping process, and feedback procedures, interviews with management, and interviews with COI representatives.</p> <p>Access the Teck <a href="#">grievance mechanism / learn more.</a></p>
4. Business Relationships	Partially meets	<p>CDA has a code of conduct applicable to employees, suppliers, and contractors. The code is actively promoted and there are systems in place to monitor and ensure compliance.</p> <p>Teck has a system in place to identify and engage with key corporate-level external stakeholders on sustainable development issues in an open and transparent manner.</p>

		<p>The following improvement opportunity was identified:</p> <ul style="list-style-type: none"> <li>Teck is updating their due diligence process which assesses vendor performance in regard to combatting bribery and corruption. As part of this process the risk assessment process is being updated and was not complete by the time of the assurance process and as such has been identified as an improvement opportunity.</li> </ul> <p>Note that this is an extension of the improvement opportunity identified in criterion 2.</p> <p>This was confirmed through a review of the code of conduct, testing of the systems of compliance, interviews with management and interviews with contractors.</p>
5. Child Labor	Fully meets	<p>CDA has policies and procedures in place to prohibit child labor. CDA operates in a jurisdiction where labor standards and codes including mining codes do not allow child labor or dangerous work under the age of 18.</p> <p>Controls are in place to confirm the ages of employees, and no personnel (contractors or employees) under the age of 18 are allowed onsite.</p> <p>No underage persons were observed on site.</p> <p>This was confirmed through review of policies, the code of conduct, procedures to confirm the ages of employees, and interviews with management.</p>
6. Forced Labor	Fully meets	<p>CDA has policies and procedures in place to prohibit forced labor and to monitor working hours of both employees and contractors. Interviews with management confirm their understanding of said policies.</p>

		<p>Onsite observations and interviews confirm that no workers appear to be working involuntarily.</p> <p>This was confirmed through review of policies, the code of conduct, procedures for controls, and interviews with workers and management.</p>
7. Freedom of Association and Collective Bargaining	Fully meets	<p>CDA has processes, policies and training in place to respect freedom of association and collective bargaining. Interviews with management confirmed their understanding of said policies.</p> <p>This was confirmed through a review of the current collective bargaining agreement and negotiations process, check of policies, code of conduct, and training materials, and interviews with the union representative and workers.</p>
8. Discrimination	Fully meets	<p>CDA has a process in place to respect the rights of workers and eliminate harassment and discrimination. Training on this matter is given during onboarding.</p> <p>This was confirmed through review of policies, code of conduct, training material, interviews with workers, and grievances logged in the grievance mechanism.</p>
9. Gender Equality	Fully meets	<p>CDA implements policies and practices to promote diversity at all levels of the company, including the representation of historically under-represented groups, and reports on progress.</p> <p>CDA also has processes to identify and resolve barriers to the advancement and fair treatment of women in the workplace.</p> <p>This was confirmed through the Equity, Diversity and Inclusion Policy, meeting minutes from the Inclusivity and Diversity Committee, interviews with management, human resources, and workers to gather their understanding on diversity issues, and a review of the annual gender pay equity reviews done at corporate level. Materials specific to</p>

		gender inclusion, such as gender intelligence training and presentations on fair treatment of women in the workplace, key performance indicators for progress on the advancement and fair treatment of women were also reviewed.
10. Working Hours	Fully meets	<p>CDA has a system in place to ensure regular and overtime working hours are within legally required limits for both employees and contractors.</p> <p>This was confirmed through a review of processes and interview with management on ensuring working hours are within legal limits.</p>
11. Remuneration	Fully meets	<p>CDA has a system to remunerate employees with fair wages and benefits that equal or exceed legal requirements or represent a competitive job wage within that job market or a living wage (whichever is higher).</p> <p>Corporate annually reviews gender pay equity.</p> <p>Employee remuneration is managed at the head office, through the implementation of employee “bands”, which outline payment aligned with responsibilities and experience.</p> <p>This is confirmed through the review of employee wage “bands”, interviews with management, and review of collective bargaining agreements.</p>
12. Occupational Health and Safety	Fully meets	<p>CDA has a system in place to manage occupational health and safety that covers key elements of international standards (e.g., ISO 45001).</p> <p>This is confirmed through review of senior level commitments, organizational charts defining health and safety roles and responsibilities, inspection of control verification programs for monitoring the risk controls for health and safety, review of training materials, targets and measures, and supply chain</p>

		<p>requirements and site observations of good management practices.</p> <p>Interviews with a sample of employees and contractors to confirm their roles and responsibilities, and awareness of policies and procedures in place regarding occupational health and safety were also completed.</p>
13. Grievance Mechanism	Fully meets	<p>CDA has a grievance mechanism for employees, contractors, and other individuals who may be adversely impacted by company activities. Controls in place for employee grievances include a whistleblower hotline. The grievance mechanism is accompanied by a system to track and respond to grievances.</p> <p>This was confirmed by inspection of the grievance mechanism, interviews with workers, and review of grievance logs.</p>
14. Environmental Risk Management	Fully meets	<p>The independent review confirmed CDA has a valid ISO 14001 certificate.</p>
15. Greenhouse Gas (GHG) Emissions	Fully meets	<p>CDA has processes in place to reduce greenhouse gas emissions, define targets, and publish results. This is complemented by energy consumption reduction targets and programs, as well as a Climate Change Outlook and strategy.</p> <p>This was confirmed through interviews with relevant personnel, workers, review of the Energy Policy and Energy and GHG Manual, review of tracking data and related methodologies, internal verification results, energy improvement projects, public reports, and observation of energy and GHG sources on-site.</p> <p><b><u><a href="#">Access the public disclosure.</a></u></b></p>
16. Energy Consumption	Fully meets	<p>CDA has systems and policies in place to manage and reduce energy consumption onsite. This is complemented by GHG emissions</p>



		<p>reduction targets, as well as a Climate Change Outlook and strategy.</p> <p>Strategies to reduce energy consumption include energy purchase agreements for the use of renewable energy and implementing cost-effective measures for the recovery, reuse or recycling of energy, natural resources and materials. CDA has a valid ISO 50001 Certificate.</p> <p>This was confirmed through interviews with relevant personnel, workers, review of the Energy Policy and Energy and GHG Manual, review of tracking data and related methodologies, internal verification results, energy improvement projects, public reports, and observation of energy and GHG sources on-site.</p>
17. Freshwater Management and Conservation	Fully meets	<p>CDA has a system in place to govern water use, including internal controls, risk management, and stakeholder engagement processes. Collaborative monitoring with COI on a watershed level takes place in the area of influence of CDA. Targets are in place for reduction in water use and plans to achieve these targets were observed.</p> <p>This was confirmed through a review of policies and procedures, systems to track non-compliances, internal assessments and water use reports, interviews to understand operational use of water, water monitoring plans including those that integrate stakeholder feedback, internal water related data and reports, and external reports.</p>
18. Waste Management	Fully meets	<p>CDA applies the mitigation hierarchy to prevent pollution, manage releases and waste (hazardous, non-hazardous, and inert), and address potential impacts on human health and the environment.</p> <p>CDA practice good waste management processes onsite including segregation of waste and bins, clear labelling, and hazardous materials storage.</p>

		<p>This is confirmed through review and discussion on policies and procedures including, review training material, review of external and government inspection reports, worker, and management interviews to understand awareness of environmental policies, and site observations of good waste management practices.</p>
19. Tailings Management	Fully meets	<p>The independent review confirmed the equivalence with the Toward Sustainable Mining Standard and specifically the Tailings Management Protocol. In accordance with the review and using the protocol, this was rated as AAA.</p> <p>This was confirmed through a review of the policy and commitment, management systems and emergency preparedness, accountability and responsibilities, annual tailings review, and OMS manual.</p>
20. Pollution	Partially meets	<p>CDA applies the mitigation hierarchy to prevent pollution, manage releases and waste (hazardous, non-hazardous, and inert), and address potential impacts on human health and the environment.</p> <p>The following improvement opportunity was identified:</p> <ul style="list-style-type: none"> <li>• Risk assessment to be conducted to understand risk of contamination in overflow pond. If risk exists, controls will be identified and implemented.</li> </ul> <p>This is confirmed through review and discussion on policies and procedures, review of training material, review of external and government inspection reports, and worker and management interviews to understand awareness of environmental policies including pollution prevention.</p>
21. Biodiversity and Protected Areas	Fully meets	<p>CDA and Teck corporate have systems for biodiversity conservation planning and implementation.</p>

		<p>This includes a five-year plan to achieve Net Positive biodiversity conservation as well as risks and action plans in place and regular monitoring.</p> <p>Relevant training has been given to employees and contractors.</p> <p>This was confirmed by interviews with relevant management and a sampling of stakeholders, review of facility level baseline data, monitoring data, risks and impact data, internal and external assessments, contracts, and partnerships for conservation, reporting mechanisms and public reports.</p>
22. Mine Closure and Reclamation	Partially meets	<p>CDA has a process in place to plan for the social and environmental aspects of mine closure in consultation with authorities, employees, and affected communities and other relevant stakeholders.</p> <p>CDA have made financial and technical provisions to ensure planned closure and post-closure commitments are realized, including the rehabilitation of land, beneficial future land use, preservation of water sources and prevention of acid rock drainage and metal leaching.</p> <p>The following improvement opportunity was identified:</p> <ul style="list-style-type: none"> <li>Socioeconomic factors have not yet been incorporated into the mine closure plan. While stakeholder interviews related to this are underway, the information has not yet been included into the closure plan.</li> </ul> <p>This was confirmed through review of the Mine Plan and Reclamation Program, closure targets, maintenance and surveillance, financial and technical plans, and interviews with management.</p>
23. Community Health and Safety	Fully meets	<p>CDA has a community engagement action plan related to community health and safety including understanding</p>

		<p>impacts of pollution and waste management.</p> <p>Mechanisms are in place to identify potential and actual adverse impacts related to the facility's activities on COI.</p> <p>This was confirmed through a review of community action plans, review of monitoring and tracking records, interviews with management and stakeholders.</p>
24. Community Development	Fully meets	<p>CDA has policies and practices in place for themselves, suppliers and contractors on community investment and procurement opportunities for local suppliers.</p> <p>CDA enables access by local enterprises to procurement and contracting opportunities across the project lifecycle, both directly and by encouraging larger contractors and supplies, and by supporting initiatives to enhance economic opportunities for local communities.</p> <p>This was confirmed by a review of policies and procedures, tracking of local spending and contribution, investment programs, and review of contracts and partnerships.</p>
25. Artisanal and Small-Scale Mining	Fully meets	<p>Where artisanal and small-scale (ASM) are present, CDA support access for ASM who respect applicable legal and regulatory framework. CDA also may collaborate with the government, where appropriate, to support improvements in environmental and social practices of ASM.</p> <p>This was confirmed through review of policies and procedures, interviews with ASM representatives, management interviews, and document review including the HSEC Social Performance Standard.</p>
26. Human Rights	Fully meets	<p>CDA implements the UN Guiding Principles on Business and Human Rights through a policy commitment,</p>

		<p>human rights due diligence and providing remedy.</p> <p>CDA has a functioning grievance mechanism available to internal and external stakeholders and has conducted a risk-based human rights saliency review.</p> <p>This was confirmed through review of policies and procedures, confirmation from suppliers that they have reviewed the policy, worker interviews, review of the grievance mechanism and associated records.</p>
27. Security and Human Rights	Fully meets	<p>CDA implement, based on risk, a human rights and security approach consistent with the Voluntary Principles on Security and Human Rights.</p> <p>This is confirmed through interviews with management about the policies and procedures for security and human rights, interviews with security contractors, review of the risk register, training material and systems to investigate any reports of incidents relating to security and human rights.</p>
28. Indigenous Peoples' Rights	Not applicable	<p>Management interviews at CDA confirm that there are no identified Indigenous Communities impacted by the site, as defined by the boundaries set out by the Chilean authorities.</p>
29. Land Acquisition and Resettlement	Fully meets	<p>CDA aim to avoid the involuntary physical or economic displacement of families and communities. Where this is not possible, CDA apply the mitigation hierarchy and implement actions or remedies.</p> <p>This was confirmed through management interviews, interviews with relevant COIs, document review including cases of resettlement and risk assessments, and review of relevant policies.</p>
30. Cultural Heritage	Fully meets	<p>CDA has a process in place to identify cultural heritage sites and to consult with stakeholders to avoid, minimize,</p>

		<p>reduce, and compensate for adverse impacts on cultural heritage.</p> <p>This was confirmed by inspecting the risk register to ensure cultural heritage issues are included, review of policies to respect cultural heritage, review of manuals on how to address social impacts from operations, and interviews with management to understand how cultural heritage is maintained and respected.</p>
31. Due Diligence in Mineral Supply Chains	Fully meets	<p>Responsible sourcing is managed at corporate level.</p> <p>There is a system in place proportional to the size and complexity of the CDA operation.</p> <p>CDA only has sources from its own mine and does not intake external material.</p>
31. Due Diligence in Mineral Supply Chains	31.a. Management System	<p>Fully meets</p> <p>At the corporate level, Teck has established a responsible sourcing policy, which is supported and operationalized by the Responsible Mineral Sourcing Management System procedure.</p>
	31.b. Red Flag Identification Process	<p>Fully meets</p> <p>Teck has a process to identify CAHRAs.</p> <p>As there are no external suppliers or transportation routes, the review is limited to whether Chile is a CAHRA.</p> <p>No red flags were identified.</p>
	31.c. Risk Assessment Process	<p>Not applicable</p> <p>No red flags were identified.</p>
	31.d. Risk Management Process	<p>Not applicable</p> <p>No red flags were identified.</p>
	31.e. Public Reporting	<p>Fully meets</p> <p>Responsible sourcing at CDA is reported annually through Teck's annual sustainability report.</p> <p>The report is available <a href="#">here</a>.</p>
32. Transparency and Disclosure	Fully meets	<p>CDA reports annually on economic, social, and environmental performance through the corporate level GRI</p>

		<p>Sustainability Reporting Standards, which is independently assured.</p> <p>The report is available <a href="#">here</a>.</p> <p>CDA through corporate operations outlines its commitment to implement the EITI on the website <a href="#">here</a>.</p>
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## Conclusions

Statement of conformance	
The site is found to fully meet all applicable criteria of the Risk Readiness Assessment and, for Criterion 31, all applicable conformance criteria of the Joint Due Diligence Standard.	<input type="checkbox"/>
The site is found to fully meet or partially meet all applicable criteria of the Risk Readiness Assessment and, for Criterion 31, all applicable conformance criteria of the Joint Due Diligence Standard. The site has committed to continuous improvement to fully meet all applicable Copper Mark Criteria by 08/09/2025.	<input checked="" type="checkbox"/>
The site is found to miss some or all of the applicable criteria of the Risk Readiness Assessment and / or for Criterion 31, some, or all of the applicable conformance criteria of the Joint Due Diligence Standard. The site has committed to continuous improvement to fully meet all applicable Copper Mark Criteria by 08/09/2025.	<input type="checkbox"/>
The site misses some or all of the applicable requirements of the Risk Readiness Assessment and / or for Criterion 31, the applicable criteria of the Joint Due Diligence Standard. The Site has not committed to continuous improvement.	<input type="checkbox"/>
Limitations:	
Additional comments:	

## Award

The Copper Mark	<input checked="" type="checkbox"/>
The Molybdenum Mark	<input type="checkbox"/>

The Nickel Mark	<input type="checkbox"/>
The Zinc Mark	<input type="checkbox"/>

*This Summary Report is prepared using data from the independent accountant's assurance report and the independent assessor report. The Copper Mark confirms the assessors' opinion and awards the relevant metal Mark(s) as follows:*

Date The Copper Mark is awarded	11 December 2023
Expiry Date of The Copper Mark	10 December 2026