



Assessment Summary Report

Participant Information

Name of the Site	BHP Olympic Dam Corporation Pty Ltd
Unique identifier provided by the Copper Mark	P0014
Address	Olympic Dam SA 5725
Country of Operation	Australia
Principle covered products produced on site. (e.g., concentrate, anodes, cathodes, sulphuric acid, slag, etc.)	Copper product: copper cathodes
Metals produced on site. (e.g., copper, gold, nickel, silver, molybdenum)	Copper, gold, silver, uranium
Metals included in scope of Criterion 31 (this must be all, or a sub-set of the metals produced on site)	Copper
Metals covered by other independent assessments for Criterion 31 (e.g., RMAP, LBMA, RJC, etc.)	NA
Types of operations included in scope	
Mining	<input checked="" type="checkbox"/>
Concentrate blending	<input checked="" type="checkbox"/>
Solvent extraction and electrowinning	<input checked="" type="checkbox"/>
Smelting	<input checked="" type="checkbox"/>
Refining	<input checked="" type="checkbox"/>
Fabrication	<input type="checkbox"/>
Other (<i>please explain</i>)	
Infrastructure owned or controlled by the site and included in scope	
Roads	<input type="checkbox"/>

Rails	<input type="checkbox"/>
Ports	<input type="checkbox"/>
Other (<i>please explain</i>)	<p>Located 560 kilometers north of Adelaide, South Australia, Olympic Dam is one of the assets in the BHP group of companies which has deposits of copper, gold, silver, and uranium.</p> <p>Olympic Dam is made up of underground and surface operations and operates an integrated processing facility from ore to metal.</p> <p>The underground mine is made up of more than 450 kilometers of underground roads and tunnels. Ore mined underground is hauled by an automated train system to crushing, storage and ore hoisting facilities.</p> <p>The processing plant consists of two grinding circuits in which high-quality copper concentrate is extracted from sulphide ore through a flotation extraction process. Olympic Dam has a fully integrated metallurgical complex with a grinding and concentrating circuit, a hydrometallurgical plant incorporating solvent extraction circuits for copper and uranium, a copper smelter, a copper refinery, and a recovery circuit for precious metals.</p>

Equivalent Systems

<p>The following equivalent systems were applied:</p> <p><i>Equivalent systems are determined by the Copper Mark to have standards and assurance requirements that are materially comparable in scope and intent to those of the Copper Mark, in accordance with the Copper Mark Recognition Process.</i></p>		
Equivalent System	Review Process	Criteria Covered by Equivalency
<p>ISO 45001</p> <p>Valid until 23 March 2025</p>	<p>The assurance / certification was confirmed to be:</p> <ul style="list-style-type: none"> Valid at the time of the review No more than 24 months old and / or plans for reassessment are underway. In effect for an additional 12 months and / or plans 	<p>12. Occupational Health and Safety</p>

	<p>for reassessment are underway</p> <ul style="list-style-type: none"> Covering the same scope as the Copper Mark Responsible Production Criteria, including operations, locations, and materials Accompanied by improvement plans where applicable 	
<p>ISO 14001 Valid until 19 January 2026</p>	<p>The assurance / certification was confirmed to be:</p> <ul style="list-style-type: none"> Valid at the time of the review No more than 24 months old and / or plans for reassessment are underway. In effect for an additional 12 months and / or plans for reassessment are underway Covering the same scope as the Copper Mark Responsible Production Criteria, including operations, locations, and materials Accompanied by improvement plans where applicable 	<p>14. Environmental Risk Management</p>

Independent Site Assessment Information

Name of the Assessment Firm (if applicable)	EY
Date(s) of Assessment Activities (dd/mm/yyyy – dd/mm/yyyy)	5 December 2022 – 3 March 2023 Site visit: 5 – 8 December 2022
Assessment Period	1 July 2022 – 31 March 2023
Summary of the Assessment Methodology	EY developed a reasonable assurance methodology specific to the Copper Mark requirements in accordance with International Standard on Assurance Engagements (ISAE) 3000, Assurance Engagements

	<p>Other than Audits or Reviews of Historical Financial Information.</p> <p>The assessment was carried out as an integrated audit using the Copper Mark Criteria for Responsible Production, the ICMM Performance Expectations, and the Toward Sustainable Mining standards and protocols. Which align to MAC protocols.</p> <p>Total workforce: 3400 workers</p>
<p>Summary of the Assessment Activities</p>	<p>1. Assurance Planning and Strategy</p> <ul style="list-style-type: none"> - Opening meeting with client contacts - Risk Assessment against the Copper Mark criteria specific to Olympic Dam's operating context - Development of specific procedures and approach for assurance execution <p>2. Execution</p> <ul style="list-style-type: none"> - Inspection of documentation including policies, procedures, data and other evidence, including using the evidence inspected to assess the information communicated in functional owner meetings - Virtual meetings with functional owners at BHP Group and Olympic Dam to understand processes and activities to address the Copper Mark criteria - Site visit to Olympic Dam head office and mine site including interviews with functional owners, workers and local stakeholders - Check accuracy of the Copper Mark Self-Assessment determinations against evidence and observations - Held discussions around rating differences, supporting documentation, gaps and plans to address gaps <p>3. Conclusion</p> <ul style="list-style-type: none"> - Executive review and final inspection of self-assessments - Drafting and finalising assurance statement including Copper Mark verification summary report - Closing meeting with BHP

Summary of Findings

Criterion	Rating	Comments
1. Legal Compliance	Fully Meets	Olympic Dam has established and maintains processes designed to ensure compliance with applicable laws. Relevant business areas are required to maintain an understanding of laws and reach out to the legal department to check compliance. Legal counsel also independently monitors

		<p>laws and discusses their effects with the business.</p> <p>This was determined through interviews with BHP legal counsel supporting Olympic Dam as well as inspection of documents such as the report from Management’s 2022 review of the Integrated Management System which outlines changes to applicable laws and the potential effects on Olympic Dam and its policies.</p>
2. Business Integrity	Fully Meets	<p>Olympic Dam implements policies and practices that are designed to prohibit and prevent bribery, including facilitation payments, corruption, and behaviour inconsistent with applicable competition laws by employees, agents, or other company representatives.</p> <p>This was determined through inspection of documents such as the BHP mandatory minimum performance requirements for Business Conduct. This includes management systems (for example, EthicsPoint conduct reporting system) that aim to identify any contraventions to the policies. The BHP Economic Contribution Report, ethics training records as well as the BHP ‘Our Code of Conduct’ training material and records were reviewed. EY conducted interviews with a sample of employees and contractors, also indicating competition law compliance and BHP Code of Conduct training was performed annually.</p> <p>EthicsPoint can be accessed here.</p>
3. Stakeholder Engagement	Fully Meets	<p>Olympic Dam has an Integrated Management System in place designed to identify key stakeholders, their level of influence, key concerns, and preferred engagement mechanisms. Olympic Dam’s management system includes a process to receive community complaints and to provide resolution of grievances to local stakeholders.</p>

		<p>Olympic Dam commissions third-party research (the Community Perception Survey) every two years which reports the results of a survey and direct engagement into how effectively the business is communicating with outside stakeholders.</p> <p>This was determined through a review of Olympic Dam’s Stakeholder Engagement Plan, the Integrated Management System for stakeholder engagement, communication protocols for different stakeholder groups, Community Perception Survey results as well as implemented action plans to improve community engagement. EY interviewed the Corporate Affairs team and relevant community stakeholders.</p> <p>EthicsPoint can be accessed here.</p>
4. Business Relationships	Fully Meets	<p>Olympic Dam has systems in place that are designed to promote responsible business conduct with significant business partners, including joint venture partners, suppliers, and contractors.</p> <p>This was determined through a review of documents including the Ethical Supply Chain and Transparency Guide, Minimum Requirements for Suppliers, executed contracts and the BHP Modern Slavery Statement, which is published annually. Interviews with the Human Resources Employee Relations team and contractors were also undertaken.</p>
5. Child Labor	Fully Meets	<p>Olympic Dam has procedures in place that are designed to prevent all forms of child labour on site (as well as forced labour), prevent the exposure of employees under the age of 18 to hazardous work and any form of participation in acts of human trafficking.</p> <p>This was determined through inspecting documents such as the Site Access Procedures for workers under the age of 18, the BHP ‘Our Code of Conduct’,</p>

		a review of Olympic Dam’s employee records as well as interviews with the Human Resources Employee Relations team, onsite workers, and apprentices.
6. Forced Labor	Fully Meets	<p>Olympic Dam has policies and procedures in place that are designed to prevent all forms of forced labour on site (as well as child labour), prevent the exposure of employees under the age of 18 to hazardous work and any form of participation in acts of human trafficking.</p> <p>This was determined through a review of the BHP Human Rights Policy Statement, BHP Modern Slavery Statement and the BHP ‘Our Code of Conduct’ as well as interviews with management and interviews with workers.</p>
7. Freedom of Association and Collective Bargaining	Fully Meets	<p>Olympic Dam has a process in place to respect freedom of association and the effective recognition of the right to collective bargaining in line with the terms of the ILO Declaration on Fundamental Principles and Rights at Work, including the four Core Labour Standards the subject of the ILO Conventions upon which the Declaration is based.</p> <p>This was determined through a review of the current collective bargaining agreement, inspection of the BHP Human Rights Policy statement, which references the ILO Declaration on Fundamental Principles and Rights at Work and the four Core Labour Standards the subject of the ILO Conventions on which the Declaration is based, as well as interviews with the Human Resources Employee Relations team and workers. BHP Group annually reports on the % of employees that are covered under collective bargaining agreements, including those at Olympic Dam. This figure, disclosed within the ESG Standards and Databook (here) is subject to limited assurance by EY.</p>

8. Discrimination	Fully Meets	<p>Olympic Dam has processes and policies in place to respect the rights of workers and prevent harassment and discrimination.</p> <p>This was determined through a review of documents including the BHP 'Our Code of Conduct' and the BHP Inclusion and Diversity Position Statement. EY also inspected platforms available to employees to record grievances (i.e., EthicsPoint) and sighted reports of discrimination. EY confirmed sighted reports had been addressed in accordance with Olympic Dam's documented processes. EY conducted interviews with the Human Resources Employee Relations team and made inquiries with workers.</p>
9. Gender Equality	Fully Meets	<p>Olympic Dam implements policies and processes in place to promote diversity at all levels of the company, including the representation and inclusions of under-represented groups. Progress is monitored and reported at the asset and BHP Group level. At the Group level, BHP sponsors initiatives to attract women into mining. In addition, a gender pay gap analysis was undertaken as part of the annual performance review of employees.</p> <p>This was determined through a review of documents such as the BHP Inclusion and Diversity Position Statement, the BHP 'Our Code of Conduct', the BHP Annual Report, as well as interviews with the Human Resources Employee Relations team and workers.</p>
10. Working Hours	Fully Meets	<p>Olympic Dam has a management system designed to ensure compliance with a legal limit of 60 working hours per week for both regular and overtime hours. The SureSite system is used at the Olympic Dam site, where workers scan their access cards to record their presence. This system effectively monitors excessive working hours.</p>

		<p>This determination was made by reviewing various documents such as the Collective Bargaining Agreement and the BHP Modern Slavery Statement, as well as conducting interviews with the Human Resources Employee Relations team and onsite workers.</p> <p>Worker inquiries revealed that overtime is voluntary, and the roster patterns, including 5/4/4/5 (12 hours), 7/7/7/7 (12 hours), 9/5 (10.5 hours), and 10/4 (10 hours), comply with legal requirements. Additionally, there is a rostered break at least once every 14 days, the option for additional rest days is available, and there are no restrictions on taking annual leave. All shift patterns have an average duration of less than 60 hours per week.</p>
11. Remuneration	Fully Meets	<p>Olympic Dam has a management system in place that is designed to pay wages that are equal to or exceed legal requirements or represent a competitive wage within the job market.</p> <p>This was determined through a review of documents such as the Collective Bargaining Agreement as well as interviews with the Human Resources Employee Relations team and onsite workers. BHP Group annually reports on the standard entry level wage compared to the minimum wage and its average overall wage, including those at Olympic Dam. These figures, disclosed within the BHP ESG Standards and Databook (here) are subject to limited assurance by EY.</p>
12. Occupational Health and Safety	Fully Meets	EY inspected Olympic Dam's ISO 45001 certificate, valid until 23 March 2025.
13. Grievance Mechanism	Fully Meets	Olympic Dam has an Integrated Management System in place that conforms to the effectiveness criteria outlined by the United Nations Guiding Principles (UNGP) to effectively

		<p>address workers' grievances. This system is accessible to all workers.</p> <p>This was determined through a review of the Integrated Management System for complaints procedures, an inspection of documents such as the BHP Our Code of Conduct and platforms available to employees to record grievances (for example, EthicsPoint) as well as interviews with the Human Resources Employee Relations team and workers. EY reviewed a sample of EthicsPoint cases to check that the process was undertaken in line with these policies and processes.</p>
<p>14. Environmental Risk Management</p>	<p>Fully Meets</p>	<p>EY inspected Olympic Dam's ISO 14001 certificate, valid until 19 January 2026.</p>
<p>15. Greenhouse Gas (GHG) Emissions</p>	<p>Fully Meets</p>	<p>Olympic Dam has an Integrated Management Systems that is designed to improve energy efficiency and report outcomes based on internationally recognized protocols for measuring CO2 equivalent (GHG) emissions. At a Group level, BHP has set and reports progress against GHG emission targets and goals.</p> <p>Through this, Olympic Dam has established reduction targets for operational CO2 equivalent emissions and quantifies and discloses CO2 equivalent emissions in line with the GHG Protocol.</p> <p>This was determined through a review of the Integrated Management System for Environmental Protection and Management, a review of GHG data reported in BHP's Annual Report and inspection of documents such as the Decarbonisation Strategy, Scope 1 Reduction Road map, BHP Climate Transition Action Plan and Olympic Dam's Climate Change Risks as well as interviews with the Climate Change team.</p>

		<p>Details on BHP Group’s GHG emission targets and goals and performance can be found in BHP’s Annual Report, including reporting that includes Olympic Dam, here. This information is also subject to limited assurance by EY.</p>
16. Energy Consumption	Fully Meets	<p>Olympic Dam has an Integrated Management System in place designed to reduce energy consumption on site. This includes a renewable power purchase agreement to increase use of renewable energy, plans for vehicle electrification and upgrading technology to decrease emissions through increased energy efficiency.</p> <p>This was determined through a review of the Integrated Management System Asset Climate Change Plan and inspection of BHP documents such as the Pathway to Net Zero, Climate Transition Action Plan and Annual Report, as well as interviews with the Climate Change team and inquiries with workers.</p>
17. Freshwater Management and Conservation	Fully Meets	<p>Olympic Dam has a management system in place that is designed to manage water stewardship, including monitoring the water balance, contracting a third party to liaise with external stakeholders to identify key areas of concern, and identifying and completing actions to mitigate risks relating to freshwater in the Great Artesian Basin.</p> <p>This was assessed through a review of documents such as the water stewardship tracker, the third-party produced Water Resources Situational Analysis (which included external stakeholder engagement), Water Strategy endorsement and inspection of Water Steering Committee meeting minutes.</p>
18. Waste Management	Fully Meets	<p>Olympic Dam has a system in place (based on the mitigation hierarchy) designed to prevent, minimize, and address the effects of pollution, manage releases, and waste, and</p>

		<p>address potential impacts on human health and the environment from its operations. Note that Olympic Dam has its own landfill, making this a lower-risk issue.</p> <p>This was determined through a review of documents such as Hazardous Materials Management Plan, inspection of the Impacts Register, interviews with the Environment team, as well as site observation of waste management practices.</p>
<p>19. Tailings Management</p>	<p>Partially Meets</p>	<p>Olympic Dam has a management system in place that is designed to ensure that tailings impoundments are designed, operated, monitored, and closed in line with internationally recognised standards.</p> <p>It was observed that Olympic Dam have appointed an engineer of record to oversee the Global Industry Standard on Tailings Management (GISTM) self-assessment in conjunction with the Tailings Review Board. Alongside those bodies are the Tailings Excellence Team, responsible for the tailings management system and the external audit of the self-assessment when necessary.</p> <p>An inspection of documents included the Olympic Dam GISTM Self-Assessment draft and the Internal Audit report (April 2022), which details actions to be completed prior to the compliance date of August 2023.</p> <p>The rating for the purposes of this criterion has been assessed against the ICMM Performance Expectations for tailings storage facilities, and the ICMM has issued guidance that requires operators to report a “partially meets” until the facility is in full conformance with the new GISTM.</p> <p>BHP is working towards conformance with the GISTM in accordance with the timelines prescribed by the ICMM.</p>

<p>20. Pollution</p>	<p>Fully Meets</p>	<p>Olympic Dam has a system in place (based on the mitigation hierarchy) designed to prevent, minimize, and address the effects of pollution, manage releases, and waste, and address potential impacts on human health and the environment from its operations.</p> <p>This was determined through a review of controls within the Aspects and Impacts Register. EY inspected documents such as the Hazardous Materials Evaluation and Risk Assessment form, the Hazardous Materials Management Plan, and the Olympic Dam Risk and Control Matrix. EY interviewed the Health and Safety team and workers to understand awareness of environmental policies. EY observed implementation of the pollution mitigation hierarchy during its site visit.</p>
<p>21. Biodiversity and Protected Areas</p>	<p>Fully Meets</p>	<p>Olympic Dam has an Integrated Management System in place designed to avoid developing new mines in World Heritage sites or in designated protected areas. BHP has a publicly stated ambition to achieve no net loss of biodiversity through a requirement to apply the mitigation hierarchy at its operated assets.</p> <p>This was determined through inspection of the Integrated Management System, Environmental Management Program, a review of documents such as BHP's Annual Report, section 7.17, and Olympic Dam's Nature Positive plan. EY inquired with the Environment team, Olympic Dam workers and held discussions with Arid Recovery, a third-party organisation which attempts to preserve and protect native wildlife in Northern South Australia.</p>
<p>22. Mine Closure and Reclamation</p>	<p>Fully Meets</p>	<p>Olympic Dam has a closure management plan in place which was developed in consultation with key stakeholders. Olympic Dam identified the stakeholders affected by its closure</p>

		<p>planning and held meetings to discuss environmental and social impacts of closure planning. Where there was less community engagement, a letter was written to explain the key areas of the consultation.</p> <p>EY inspected the Closure Management Plan and External Engagement Plan, noting they contained detailed plans for engagement with stakeholders in small groups, to enable open sharing of information. EY inspected the minutes of the stakeholder workshops which contained detailed questions asked and answers given. The updated Closure Management Plan was inspected for inclusion of a stakeholder consultation strategy with a register of stakeholders and requirements for regular consultation. Closure is not expected for around 100 years at Olympic Dam. While stakeholders are invited to contribute to plans, this was not considered to be a material issue for external stakeholders at this time.</p>
<p>23. Community Health and Safety</p>	<p>Fully Meets</p>	<p>Olympic Dam has policies in place designed to monitor, avoid, minimise, reduce, and compensate for adverse impacts on workers, community health and safety, cultural heritage, and the natural environment.</p> <p>This was determined through a review of Olympic Dam’s ISO 45001 certification (valid until 23 March 2025) through interviews with external community members and inquiries with workers.</p>
<p>24. Community Development</p>	<p>Fully Meets</p>	<p>Olympic Dam has an Integrated Management System in place that is designed to identify the needs of the Olympic Dam host communities and the support and activities that will be implemented to help to address these. Olympic Dam commissions a third-party to carry out a perception survey in the Roxby Downs community to understand their sentiment and concerns.</p>

		<p>This was determined through a review of the Integrated Management System Stakeholder Engagement Management Plan, and inspection of documents including the Community Perception Survey Report, BHP’s Reconciliation Action Plan, and evidence of local procurement. Stakeholder engagement meeting minutes were also reviewed as well as holding interviews with the Corporate Affairs team and external community members.</p>
25. Artisanal and Small-Scale Mining	N/A	<p>There is no artisanal and small-scale mining in the area of influence.</p>
26. Human Rights	Fully Meets	<p>Aligned with the BHP Human Rights Policy Statement, Olympic Dam has designed a system to implement the UN Guiding Principles on Business and Human Rights including human rights due diligence through risk identification, human rights impact assessments, and procedures to respect rights, and remedy potentially adverse impacts. EY’s discussions with external stakeholders did not raise any human rights concerns.</p> <p>This was determined through a review of documents including the BHP Human Rights Policy Statement, page 1 ‘<i>Operating in a manner consistent with the United Nations (UN) Guiding Principles on Business and Human Rights</i>’ and the BHP Modern Slavery Statement, page 9 ‘<i>We are committed to operating in a manner consistent with the United Nations Guiding Principles on Business and Human Rights (UNGPs)</i>’, as well as through interviews with the Human Resources Employee Relations team.</p>
27. Security and Human Rights	Fully Meets	<p>Olympic Dam has systems in place to implement a risk-based security approach aligned to the Voluntary Principles on Security and Human Rights, relying on Olympic Dam level risk assessments and actions.</p> <p>This was determined through a review of documents including the Voluntary</p>

		Principles on Security and Human Rights report (which details Olympic Dam’s security approach), Olympic Dam’s Security Management Plan, inspection of Voluntary Principles on Security and Human Rights training records and interviews with the BHP security team.
28. Indigenous Peoples’ Rights	Fully Meets	<p>Olympic Dam has an Integrated Management System in place designed to respect the rights, interests, aspirations, culture, and natural resource-based livelihoods of Indigenous peoples.</p> <p>This was determined through a review of Olympic Dam’s Integrated Management System for Stakeholder Engagement, inspection of Olympic Dam’s Engagement Plan as well as interviews with the Corporate Affairs team and a representative from a Native Title Corporation (for the only traditional owner group with a native title determination over the Olympic Dam area).</p>
29. Land Acquisition and Resettlement	Fully Meets	<p>Olympic Dam operates within a discrete mining lease area and the land on which Olympic Dam operates is owned or leased by BHP. No land acquisition or resettlement has occurred in the reporting period.</p> <p>BHP has mandatory minimum requirements in place that govern land acquisition and resettlement activities should they occur – ‘Community Requirements’.</p>
30. Cultural Heritage	Fully Meets	<p>Olympic Dam has systems in place designed to identify cultural heritage sites and to establish a process based on consultation with stakeholders to avoid, minimize, reduce, and compensate for adverse impacts on cultural heritage. The heritage function maintains a heritage database which is reviewed and updated periodically.</p> <p>This was determined through a review of documents including the Cultural</p>

		<p>Heritage Procedure Information sheet, an example of Heritage Approval, Consultation protocols, meeting minutes from engagement with Indigenous communities and interviews with the Cultural Heritage team and a representative from the Native Title Corporation.</p> <p>EY also inspected the Land Use Permit Procedure and Land Use permit database as an example of the Cultural Heritage engagement process at Olympic Dam.</p>
<p>31. Due Diligence in Mineral Supply Chains</p>	<p>Partially Meets</p>	<p>BHP manages the risk-based due diligence process and supplier onboarding for all suppliers to its operated assets at the corporate level. The due diligence management system in place has been designed to align to the OECD Guidance on Conflict-Affected and High-Risk Areas (CAHRA). Olympic Dam operations are included within these systems.</p> <p>At a corporate level, BHP has implemented a Responsible Minerals Program, by publishing and socializing updated policies such as its Responsible Minerals Policy (available here), delivering training to team members who might be involved in procurement from conflict-affected areas and preparing templates such as checklists and questionnaires to facilitate the new OECD-aligned due diligence process. Whilst Olympic Dam does not normally purchase external material, it did undertake purchase of blister copper between 17th December 2021 and 10th January 2022 due to insufficient anode production during its major shutdown which occurs every four years). This was used as an opportunity to pilot the Responsible Minerals Program which was still in the implementation phase at the time of the transaction.</p> <p>The pilot program included direct correspondence with the supplier,</p>

		<p>completion of the Minerals Supply Chain Due Diligence Questionnaire, completion of the Red Flag checklist, and receipt of evidence from the supplier of its own due diligence process on the original producer of the blister copper.</p> <p>Further to the process for individual transactions, Olympic Dam has implemented a monthly review of improvement opportunities in the Responsible Minerals Program, internal training for team members who might be involved in procurement from conflict-affected areas, and developed a risk management tool for the development of individual risk assessments and risk mitigation plans where this circumstance arises.</p> <p>This was determined through interviews with management, a review of documents including the BHP Modern Slavery Statement, 'Our Code of Conduct', Human Rights Policy statement, Responsible Minerals Policy, Supply Requirements and Ethical Supply Chain and Transparency guide.</p>
31.a. Management System	Fully Meets	<p>At a BHP Group level, a due diligence management system has been implemented which aligns to the OECD Guidance of Conflict-Affected and High-Risk Areas (CAHRA).</p> <p>The management system performs screening and due diligence on all new suppliers at the time of onboarding. Screening is based on the Verisk Maplecroft country risk index. The outcome is high, medium, or low. All suppliers undergo due diligence reviews and high-risk suppliers undergo additional reviews. Suppliers who do not meet the criteria are not onboarded.</p> <p>BHP also has a Responsible Minerals Program for the production or inbound supply chain of minerals or metals that involve extraction, transportation, or trade from or through any CAHRA. BHP</p>

			<p>uses the TDi Sustainability’s CAHRA index to determine which countries are CAHRA. Australia is not considered a CAHRA by this index. Olympic Dam is not located in a CAHRA and in the assessment period no external material was purchased.</p> <p>To collect and retain necessary information on suppliers, BHP has the Enterprise Resource Planning (ERP) system, a transaction management system that allows the collection and transfer of information on suppliers and transactions across all functions and operated assets.</p> <p>BHP has EthicsPoint, a grievance mechanism for internal and external stakeholders, including whistleblowers, to voice concerns, with the option for anonymity.</p>
	31.b. Red Flag Identification Process	Fully Meets	<p>BHP has a system to identify red flags including conducting risk assessments and screening suppliers.</p> <p>No risks were identified, and Olympic Dam is considered a low-risk facility as it is located in Australia, which is not considered a CAHRA and does not have structural dependence on supply of third-party materials. A formalized risk process for identification was implemented through the BHP Responsible Minerals Program.</p>
	31.c. Risk Assessment Process	Not applicable	No red flags were identified.
	31.d. Risk Management Process	Not applicable	No red flags were identified.
	31.e. Public Reporting	Partially Meets	<p>BHP publicly discloses its company policies and practices on its mineral supply chain due diligence on its website. This includes information on the management system and system of controls to collect and retain information necessary for red flags reviews and a summary of its red flag assessment. Mineral supply chain due diligence information is available here.</p>

		One gap was identified: Evidence of annual updating of BHP's mineral supply chain practices was not available. BHP plans to issue its Step 5 report in late 2023 which should address this gap.
32. Transparency and Disclosure	Fully Meets	<p>Olympic Dam has processes in place to report annually on environmental, social and governance performance in line with internationally recognised standards and to publicly support the implementation of EITI, and report where appropriate through BHP Group reporting.</p> <p>This was determined through a review of the latest public disclosures in the BHP Annual Report and ESG standards and Databook.</p> <p>This information is available in BHP's Annual Report as well as online, here.</p>

Conclusions

Statement of conformance	
The site is found to fully meet all applicable criteria of the Risk Readiness Assessment and, for Criterion 31, all applicable conformance criteria of the Joint Due Diligence Standard.	<input type="checkbox"/>
The site is found to fully meet or partially meet all applicable criteria of the Risk Readiness Assessment and, for Criterion 31, all applicable conformance criteria of the Joint Due Diligence Standard. The site has committed to continuous improvement to fully meet all applicable Copper Mark Criteria by 30 October 2022.	<input checked="" type="checkbox"/>
The site is found to miss some or all of the applicable criteria of the Risk Readiness Assessment and / or for Criterion 31, some, or all of the applicable conformance criteria of the Joint Due Diligence Standard. The site has committed to continuous improvement to fully meet all applicable Copper Mark Criteria by 30 October 2021.	<input type="checkbox"/>

<p>The site misses some or all of the applicable requirements of the Risk Readiness Assessment and / or for Criterion 31, the applicable criteria of the Joint Due Diligence Standard. The Site has not committed to continuous improvement.</p>	<input type="checkbox"/>
<p>Limitations:</p>	
<p>Additional comments:</p>	<p>This integrated assessment supersedes the assessment conducted at Olympic Dam in May 2021.</p> <p>Olympic Dam first signed the Letter of Commitment (the commencement date) on 30 October 2020.</p> <p>The first assessment took place between 1 April 2021 - 24 May 2021.</p> <p>The Copper Mark was first awarded on 21 September 2021.</p> <p>Olympic Dam had a full assessment to assess criteria originally determined to be “partially meets” between 3-28 October 2022. Subsequently, Olympic Dam was awarded the Copper Mark for meeting the requirements for responsible production practices of the Copper Mark. Valid for the period of 21 September 2021 to 20 September 2024.</p> <p>Olympic Dam’s most recent assessment took place between 5 December 2022 – 3 March 2023. The new commencement date is 30 October 2022 and the next site assessment is due by 28 October 2025.</p>

Award

<p>The Copper Mark</p>	<input checked="" type="checkbox"/>
<p>The Molybdenum Mark</p>	<input type="checkbox"/>
<p>The Nickel Mark</p>	<input type="checkbox"/>
<p>The Zinc Mark</p>	<input type="checkbox"/>

This Summary Report is prepared using data from the independent accountant’s assurance report and the independent assessor report. The Copper Mark confirms the assessors’ opinion and awards the relevant metal Mark(s) as follows.

<p>Date The Copper Mark is awarded</p>	<p>11 July 2023</p>
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Expiry Date of The Copper Mark	10 July 2026
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