



The Copper Mark Summary Assessment Report

Copper Producer Information

Name of the Copper Producer Site	Oyu Tolgoi
Unique identifier provided by the Copper Mark	P002
Address	Monnis Tower, Chinggis Avenue 15 Sukhbaatar District - 14240, Ulaanbaatar
Country of Operation	Mongolia
Types of operations included in scope (<i>select all that apply</i>)	
Mining	<input checked="" type="checkbox"/>
Blending	<input type="checkbox"/>
Solvent extraction and electrowinning	<input type="checkbox"/>
Smelting	<input type="checkbox"/>
Refining	<input type="checkbox"/>
Other (<i>please explain</i>)	Ore concentrator

Copper Mark Information

Date the Copper Mark is awarded (dd/mm/yyyy)	1 st October 2020
Expiry Date of the Copper Mark (dd/mm/yyyy)	30 th September 2023

Assessment Information

Name of the Lead Assessor	Dana Strength
Name of the Assessment Firm (if applicable)	RINA Consulting S.p.A.

Date(s) of Assessment Activities	27-31 August 2020 Verification of implementation of improvement plans: February 2022
Assessment Period	2018-2020
Summary of the Assessment Activities	<p>Five (5) criteria are in scope of the assessment:</p> <p>2. Business Integrity; 10. Working Hours; 19. Tailings Management; 24. Community Development; 31. Due Diligence in Mineral Supply Chains.</p> <p>All other criteria are covered through equivalency or are not applicable to the copper producer.</p> <p>The assessor conducted a remote assessment on the five criteria. The remote assessment included the review of evidence provided as part of the self-assessment and independent review of the Copper Mark Assurance Process as well as evidence collected during previous on-site assessments conducted by the same assessment team and that addresses the requirements of the criteria in scope.</p> <p>Previous on-site assessments considered during the remote assessment were conducted in April 2018, September 2018, May 2019, and October 2019. These assessments included documentation review, interviews with management, internal and external stakeholders as well as physical observations.</p>

Equivalencies and Non-Applicable Criteria

The following criteria were confirmed to be not applicable to the Copper Producer:	Criterion 25. Artisanal and Small-Scale Mining
The following equivalent systems were applied (add more rows as appropriate)	
Equivalent System <i>(Name, date of assurance / certification)</i>	Criteria Covered by Equivalency
OHSAS 18001:2007, 11 March 2021	12. Occupational Health and Safety
ISO 14001:2015, 25 January 2022	14. Environmental Risk Management 15. Greenhouse Gas (GHG) Emissions 16. Energy Consumption

	<p>17. Freshwater Management and Conservation</p> <p>18. Waste Management</p> <p>20. Pollution</p> <p>21. Biodiversity and Protected Areas</p> <p>22. Mine Closure and Reclamatio</p>
IFC Performance Standards, October 2019 (last review)	<p>1. Legal Compliance</p> <p>3. Stakeholder Engagement</p> <p>4. Business Relationships</p> <p>5. Child Labor</p> <p>6. Forced Labor</p> <p>7. Freedom of Association and Collective Bargaining</p> <p>8. Discrimination</p> <p>9. Gender Equality</p> <p>13. Grievance Mechanism</p> <p>23. Community Health and Safety</p> <p>26. Human Rights</p> <p>27. Security and Human Rights</p> <p>28. Indigenous Peoples' Rights</p> <p>29. Land Acquisition and Resettlement</p> <p>30. Cultural Heritage</p>

Assessment Conclusions

Statement of conformance	
The site is found to fully meet all applicable requirements of the Risk Readiness Assessment.	<input checked="" type="checkbox"/> Based on the assessment in February 2022 of the implementation of the improvement plan, all criteria found as “partially meets” are now considered to be “fully meets.”
The site is found to fully meet or partially meet all applicable requirements of the Risk Readiness Assessment and has committed to continuous improvement at the site to	<input type="checkbox"/>

fully meet all applicable Copper Mark Criteria by 31 August 2021.	
The site is found to miss some or all of the applicable requirements of the Risk Readiness Assessment, and has committed to continuous improvement at the site to fully meet all applicable Copper Mark Criteria 24 months from the date of signing the Letter of Commitment.	<input type="checkbox"/>
The site misses some or all of the applicable requirements of the Risk Readiness Assessment and has not committed to continuous improvement.	<input type="checkbox"/>
Limitations:	<p>Conformance for the five criteria that are not covered through equivalency has been assessed remotely.</p> <p>The remote assessment included evidence obtained in previous site assessments conducted in 2018 and 2019, including through interviews and physical observation.</p>
Additional comments:	