Risk Readiness Revision 2021-2022
Stakeholder Engagement Plan

The Responsible Minerals Initiative (RMI) and the Copper Mark are revising the Risk Readiness Assessment (RRA) Criteria and corresponding Criteria Guide in 2021 / 2022.

The RMI and the Copper Mark seek to ensure the RRA revision process is inclusive and informed by the perspectives of the stakeholders affected by the RRA and its implementation. The stakeholder engagement plan is guided by the ISEAL Standard-Setting Code of Good Practice Version 6.0, Section 5, which includes the following main elements:

- Stakeholder identification
- Accessibility of information
- Consultation
- Decision making
- Resolving complaints

The Copper Mark and the RMI may update this plan from time-to-time as needed, and will ensure the most updated version is accessible on their respective websites.

1. Stakeholder Identification

The Copper Mark and the RMI have identified the following main types of stakeholder groups that have an interest in the RRA and / or who are affected by the implementation of the RRA:

- Mineral producers and processors
- Customers of mineral and metal containing products
- Workers and their representatives
- Local communities and their representatives
- Indigenous peoples and their representatives
- Assessors

2. Accessibility of Information

The Copper Mark and the RMI have published a summary of the revision process here and here. The summary includes information on the revision objectives, general timeline as well as how to provide feedback at any point in time.

The RMI and the Copper Mark plan to publish the following information in the course of the revision process:

- Significant updates or changes to the process and timeline
- Information on workshops and public consultations
- Summaries of:
  - Workshop discussions
  - Substantive comments received in public consultations
  - Responses by RMI / Copper Mark to substantive comments

3. Consultation

The RMI and the Copper Mark want to ensure the RRA revision process is open to all stakeholders and achieves a balance of interests among the main stakeholder groups identified, the subject matter covered and the main geographies where the RRA is used.

3.1. Technical Committee

In the early phases of the revision process, the Copper Mark and the RMI established the Technical Committee, which is made up of individuals with technical knowledge and expertise in standards development, auditing, mineral supply chains, and / or ESG issues. **The Technical Committee's role is to provide technical support to the revision of the RRA Criteria and Criteria Guide.**

The terms of reference of the Technical Committee are here.

Current participants in the Technical Committee include representatives from:

Producers	Antofagasta Aurubis BHP CMOC Glencore Innpulsa LS Nikko Rio Tinto Thaisarco
Downstream	Apple Ford Intel Nexans Siemens Energy
Non-Industry	Arche Advisors Carbon Trust CEMS Universidad del Pacifico Independent subject matter experts (2) IndustriALL

3.2. Outreach Efforts

The Copper Mark and the RMI recognize that the following main stakeholder groups are not or insufficiently represented in the Technical Committee:
- Workers and their representatives
- Local communities and their representatives
- Indigenous peoples and their representatives
- Assessors

The RMI and the Copper Mark look to **proactively engage these stakeholder groups on the draft RRA Criteria and Criteria Guide[1].** Planned measures include:
- Participation in or organization of minimum 4 workshops focusing on the engagement of local stakeholders and their representatives. The activity will include stakeholders in major mineral producing regions (e.g. Chile, China, etc.).
- Proactive outreach to the identified stakeholder groups using:
  - The Copper Mark and RMI's existing contacts;

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[1] Any in-person events or workshops are subject to relevant COVID restrictions and public safety precautions.

- o Networks of partner organizations;
- o Networks of mineral producers and processors using the RRA.

Proactive outreach efforts will focus on topics specifically of interest to the stakekholder.

The Copper Mark and the RMI will work to reduce barriers to participation using the following measures:

- Translation of documentation
- Events / workshop in local language
- Preparation of tailored content and engagement questions

3.3.   Public Consultation

The draft RRA Criteria and Criteria Guide will be published for two rounds of public consultation. Voluntary sustainability standards (VSS) will be invited to submit their comments in the public consultation. The first round is anticipated in the second quarter of 2022 for a period of 60 days. The second round is anticipated in the third quarter of 2022 for a period of 30 days.

Information on the public consultation will be communicated through:

- The RMI and the Copper Mark website
- Mailing lists of the RMI, the Copper Mark and other partner organizations
- Targeted outreach to the stakeholders identified as being insufficiently represented.

3.4.   Interested Stakeholders

Interested stakeholders are invited to provide feedback at any time by sending an email to info@coppermark.org and / or RMI@responsiblebusiness.org.

4. Decision-Making

The RMI and the Copper Mark seek agreement on the RRA Criteria and Criteria Guide. Substantive comments received will be reviewed by the Technical Committee.

Where the Technical Committee is unable to reach agreement, the concerns expressed by participants will be noted and reported to the respective decision making bodies within the RMI and the Copper Mark for discussion and resolution.

The decision-making bodies are, respectively:

- The RMI Steering Committee
- The Board of Directors of the Copper Mark

5. Resolving Complaints

Any procedural complaints about the RRA revision process can be raised directly with the RMI or the Copper Mark. In addition, both organizations have a publicly available grievance mechanism, accessible to any interested party:

- The RMI's grievance mechanism is accessible here.
- The Copper Mark's grievance mechanism is accessible here.