



The Copper Mark Summary Assessment Report

Copper Producer Information

Name of the Copper Producer Site	Oyu Tolgoi
Unique identifier provided by the Copper Mark	P002
Address	Monnis Tower, Chinggis Avenue 15 Sukhbaatar District - 14240, Ulaanbaatar
Country of Operation	Mongolia
Types of operations included in scope (<i>select all that apply</i>)	
Mining	<input checked="" type="checkbox"/>
Blending	<input type="checkbox"/>
Solvent extraction and electrowinning	<input type="checkbox"/>
Smelting	<input type="checkbox"/>
Refining	<input type="checkbox"/>
Other (<i>please explain</i>)	Ore concentrator

Copper Mark Information

Date the Copper Mark is awarded (dd/mm/yyyy)	1 st October 2020
Expiry Date of the Copper Mark (dd/mm/yyyy)	30 th September 2023

Assessment Information

Name of the Lead Assessor	Dana Strength
Name of the Assessment Firm (if applicable)	RINA Consulting S.p.A.

Date(s) of Assessment Activities	27-31 August 2020
Assessment Period	2018-2020
Summary of the Assessment Activities	<p>Five (5) criteria are in scope of the assessment:</p> <p>2. Business Integrity; 10. Working Hours; 19. Tailings Management; 24. Community Development; 31. Due Diligence in Mineral Supply Chains.</p> <p>All other criteria are covered through equivalency or are not applicable to the copper producer.</p> <p>The assessor conducted a remote assessment on the five criteria. The remote assessment included the review of evidence provided as part of the self-assessment and independent review of the Copper Mark Assurance Process as well as evidence collected during previous on-site assessments conducted by the same assessment team and that addresses the requirements of the criteria in scope.</p> <p>Previous on-site assessments considered during the remote assessment were conducted in April 2018, September 2018, May 2019, and October 2019. These assessments included documentation review, interviews with management, internal and external stakeholders as well as physical observations.</p>

Equivalencies and Non-Applicable Criteria

The following criteria were confirmed to be not applicable to the Copper Producer:	Criterion 25. Artisanal and Small-Scale Mining
The following equivalent systems were applied (add more rows as appropriate)	
Equivalent System <i>(Name, date of assurance / certification)</i>	Criteria Covered by Equivalency
OHSAS 18001:2007, 02/10/2018	12. Occupational Health and Safety
ISO 14001:2015, 02/10/2018	14. Environmental Risk Management 15. Greenhouse Gas (GHG) Emissions 16. Energy Consumption 17. Freshwater Management and Conservation

	<ul style="list-style-type: none"> 18. Waste Management 20. Pollution 21. Biodiversity and Protected Areas 22. Mine Closure and Reclamatio
IFC Performance Standards, October 2019 (last review)	<ul style="list-style-type: none"> 1. Legal Compliance 3. Stakeholder Engagement 4. Business Relationships 5. Child Labor 6. Forced Labor 7. Freedom of Association and Collective Bargaining 8. Discrimination 9. Gender Equality 13. Grievance Mechanism 23. Community Health and Safety 26. Human Rights 27. Security and Human Rights 28. Indigenous Peoples' Rights 29. Land Acquisition and Resettlement 30. Cultural Heritage

Assessment Conclusions

Statement of conformance	
The site is found to fully meet all applicable requirements of the Risk Readiness Assessment.	<input type="checkbox"/>
The site is found to fully meet or partially meet all applicable requirements of the Risk Readiness Assessment and has committed to continuous improvement at the site to fully meet all applicable Copper Mark Criteria by 31 August 2021.	<input checked="" type="checkbox"/>
The site is found to miss some or all of the applicable requirements of the Risk	<input type="checkbox"/>

<p>Readiness Assessment, and has committed to continuous improvement at the site to fully meet all applicable Copper Mark Criteria 24 months from the date of signing the Letter of Commitment.</p>	
<p>The site misses some or all of the applicable requirements of the Risk Readiness Assessment and has not committed to continuous improvement.</p>	<input type="checkbox"/>
<p>Limitations:</p>	<p>Conformance for the five criteria that are not covered through equivalency has been assessed remotely.</p> <p>The remote assessment included evidence obtained in previous site assessments conducted in 2018 and 2019, including through interviews and physical observation.</p>
<p>Additional comments:</p>	